FY14 Budget Process Update

Toronto Meeting presentation
17 October 2012

Agenda

I.   Introductions overview and Agenda

II. Summary of Community Ad-Hoc Working Sessions

III. Proposed FY14 Framework Development

IV. Proposed FY14 Draft Ops Plan & Budget

V.   Community discussion and feedback

VI. Next Steps – how we build the FY14 Budget going forward

Ad Hoc Groups Working Sessions

- At the Prague meeting 3 Ad Hoc groups were formed
    - Budget Planning Process from Strategic viewpoint
    - Timeline of Budget Process
    - Structure and Content of Budget

- Multiple interactions – over 3 months
    - 3 conference calls per group

- Revised process proposal for FY14 posted for comments Ad Hoc working group wiki

- Toronto Meeting: FY14 Budget Model Update

Process Improvement – Ad Hoc groups

Strategic Planning
- Clarity in Process
- Preplanned Interactions
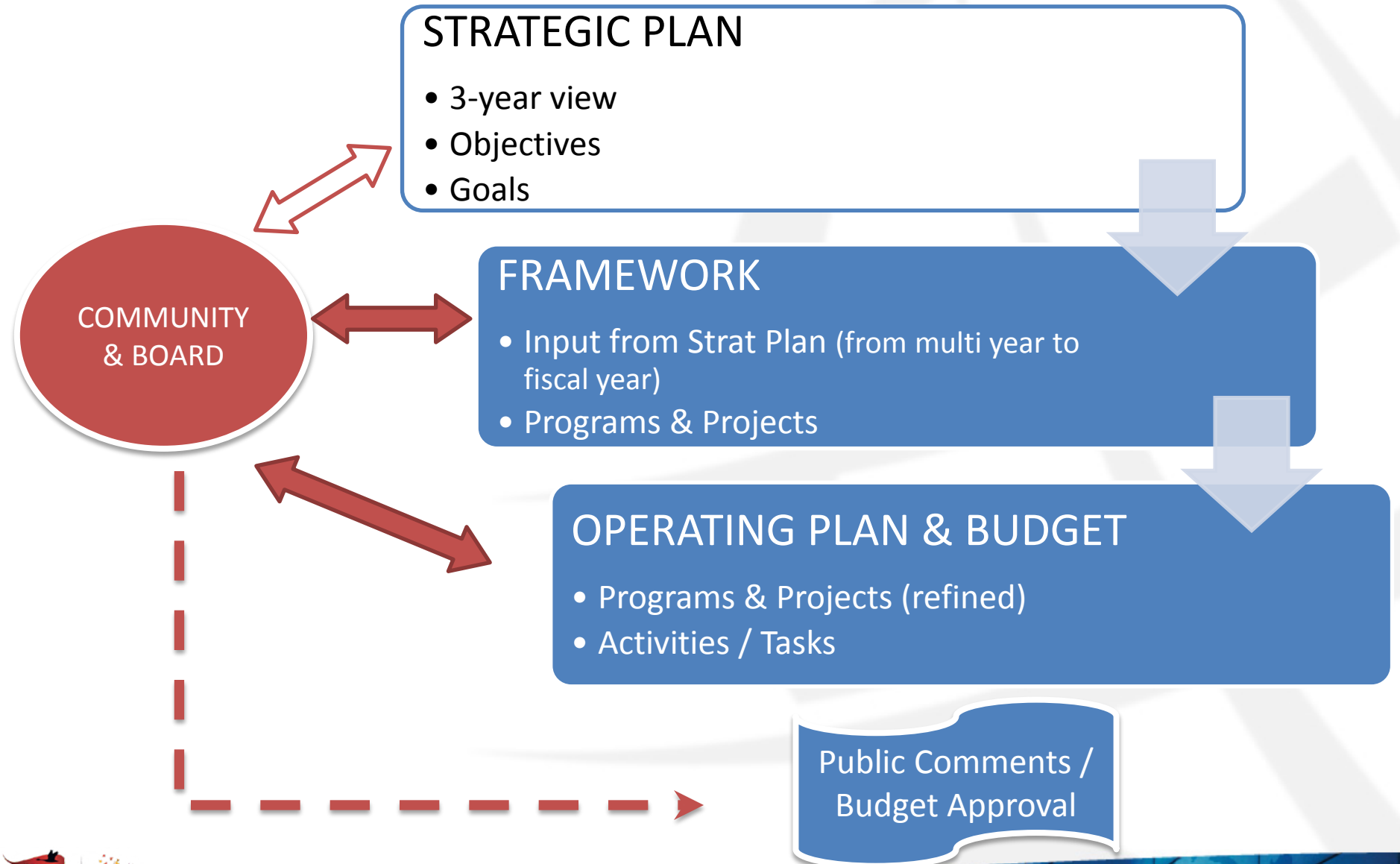- Clarity in linkage to Strat Plan

Timeline
- Planned and structured interactions
- Clarity in volunteers time commitment
- Expanded budget development time

Structure & Content
- Front Load community input
- Content is "known" prior to posting
- Takes into account community expectations

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Planning Process

STRATEGIC PLAN

- 3-year view
- Objectives
- Goals

COMMUNITY & BOARD

FRAMEWORK

- Input from Strat Plan (from multi year to fiscal year)
- Programs & Projects

OPERATING PLAN & BUDGET

- Programs & Projects (refined)
- Activities / Tasks

Public Comments / Budget Approval

Draft Ops Plan & Budget Development

Draft Ops Plan & Budget

Refine Scope/Timeline/Resources

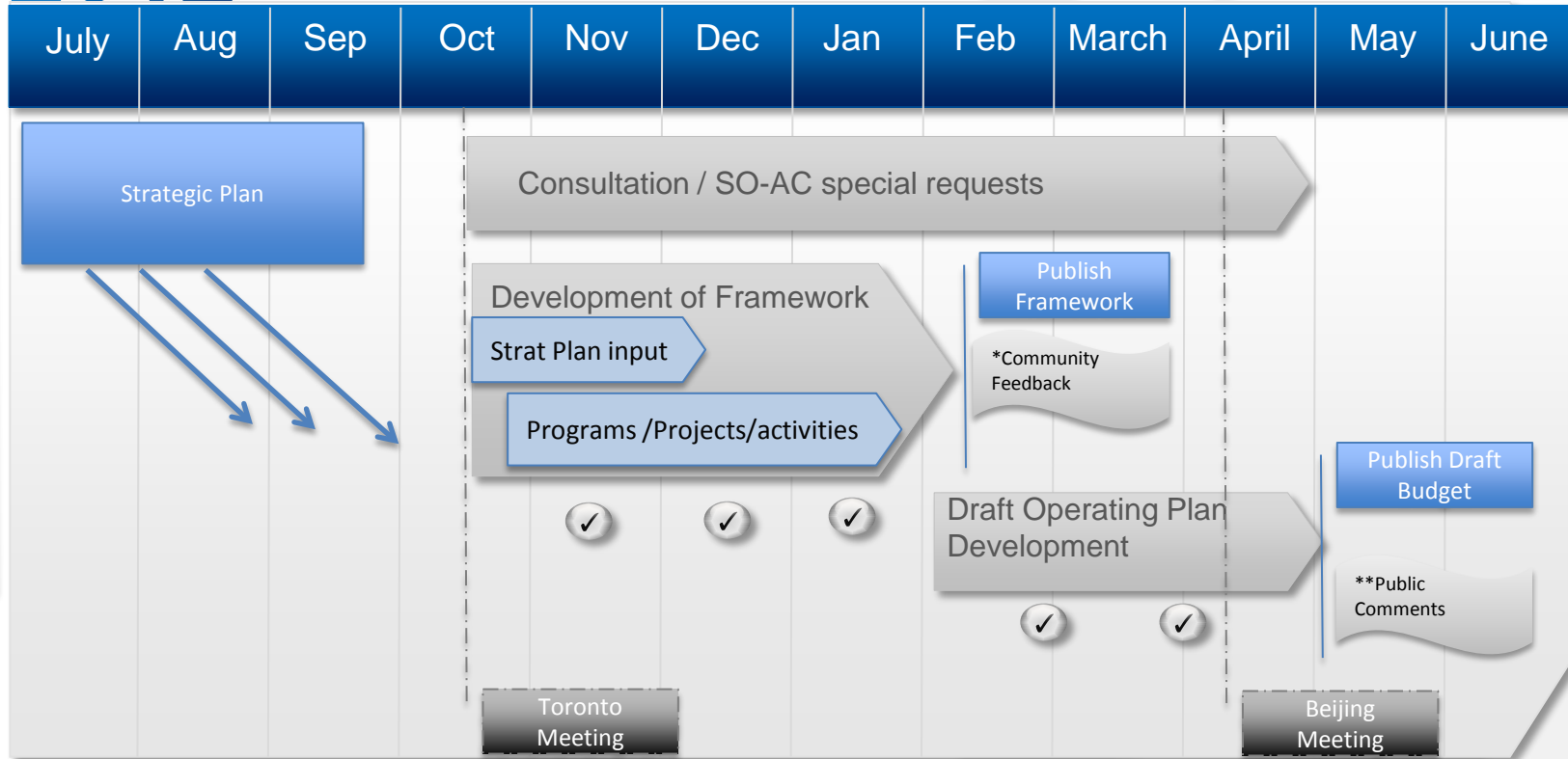**Review Activities /Programs/Projects**

Framework

Input from Strat Plan

Activities/Programs/Projects

FY14 Budget Timeline

2012   2013

July	Aug	Sep	Oct	Nov	Dec	Jan	Feb	March	April	May	June

Strategic Plan

Consultation / SO-AC special requests

Development of Framework

Strat Plan input

Publish Framework

*Community Feedback

Programs /Projects/activities

✓ ✓ ✓

Draft Operating Plan Development

✓ ✓

Publish Draft Budget

**Public Comments

Toronto Meeting

Beijing Meeting

✓ Community and Staff interactions

* Directed Comments with focus areas to gather input

** Comment on Process, request for clarity on particular content area, or improvements only; not to be included in Adopted Plan

Framework Development

Toronto

Kick-off
Finance session

1st Community Review

Nov - 2012

2nd Community Review

Jan - 2013

3rd Community Review

Feb - 2013

Publish Framework

Input from Strat-Plan

Programs/Projects/Activities

Scope/Timeline/Resources/Metrics

Finalize Framework Document

Oct - 2012

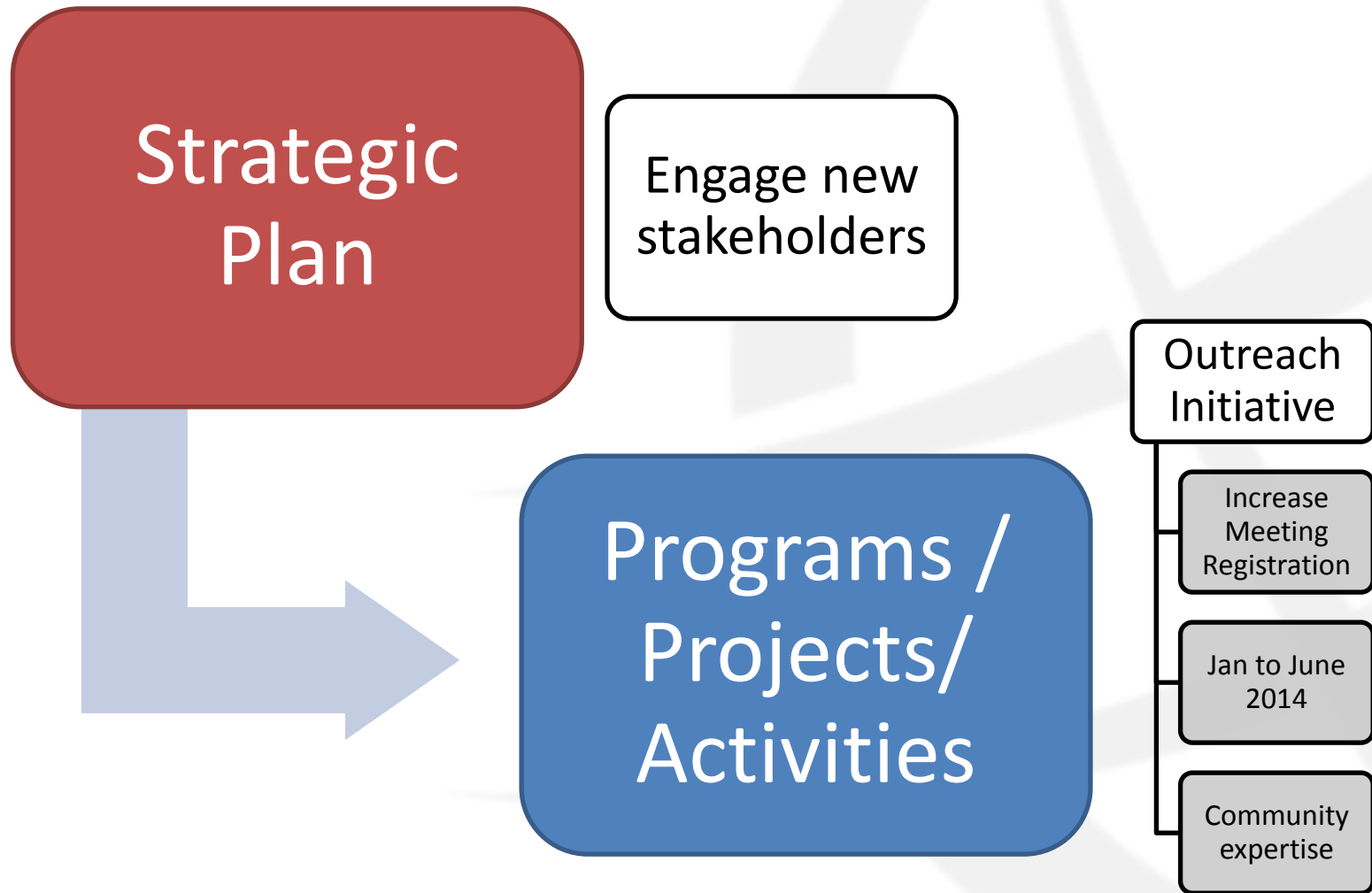Feb - 2013

Draft Ops Plan & Budget

Framework

- Overall FTE estimates
- High level project estimates
- High level core activities estimates
- Early view – less precise

Draft Budget

- Confirmed FTE list with hiring plan
- Project plan with estimates
- Activities full costing estimates
- More depth and details approach

Framework Development

Strategic Plan

Engage new stakeholders

Programs / Projects/ Activities

Outreach Initiative

Increase Meeting Registration

Jan to June 2014

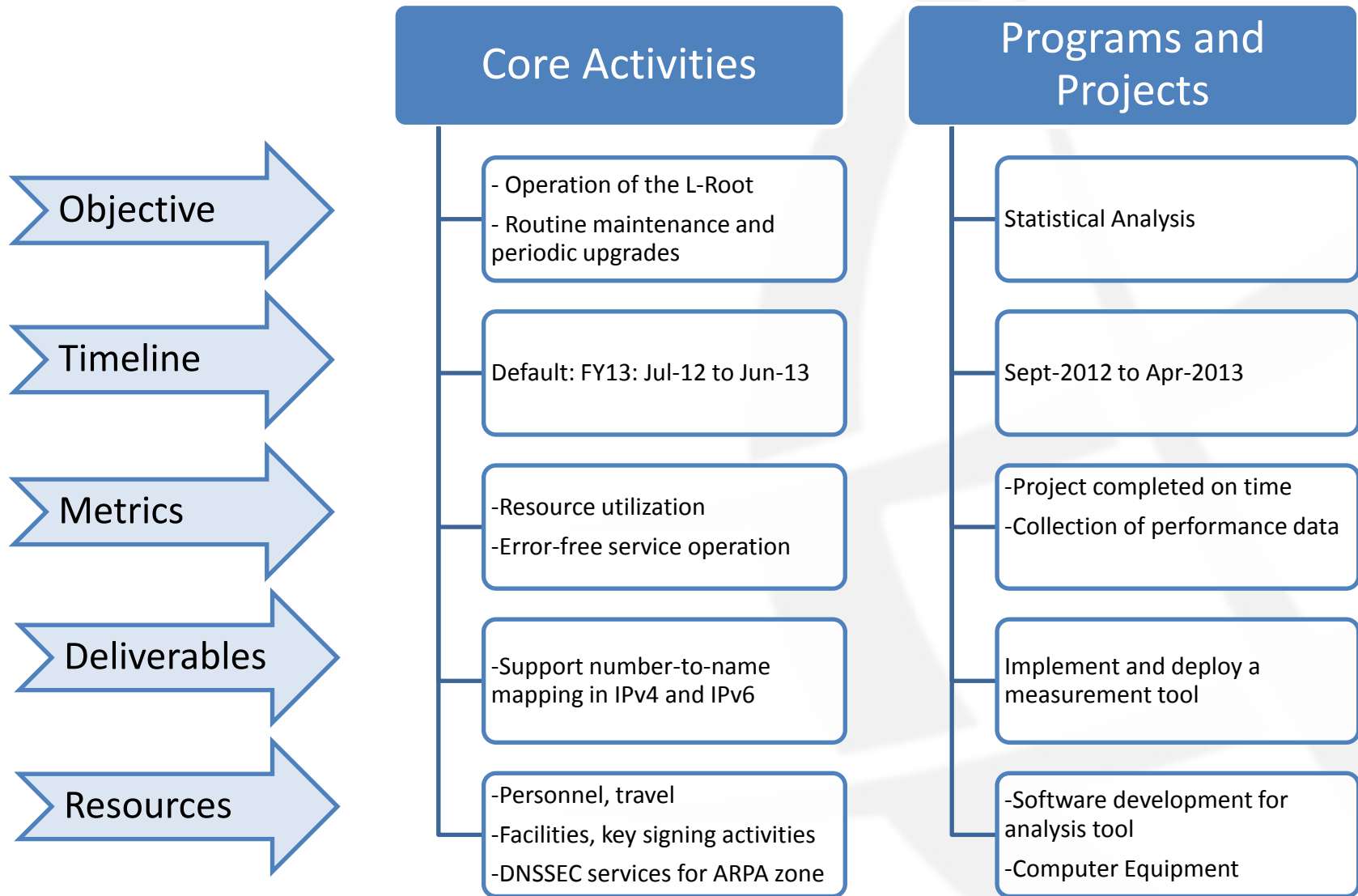Community expertise

Framework Content

Core Activities

- Objective
- Timeline (fiscal year)
- Metrics
- Deliverables
- Resources

Programs and Projects

- Objective
- Timeline
- Metrics
- Deliverables
- Resources

Framework Content – Sample DNS-OPS

Core Activities	Programs and Projects
- Operation of the L-Root - Routine maintenance and periodic upgrades	Statistical Analysis
Default: FY13: Jul-12 to Jun-13	Sept-2012 to Apr-2013
-Resource utilization -Error-free service operation	-Project completed on time -Collection of performance data
-Support number-to-name mapping in IPv4 and IPv6	Implement and deploy a measurement tool
-Personnel, travel -Facilities, key signing activities -DNSSEC services for ARPA zone	-Software development for analysis tool -Computer Equipment

Objective

Timeline

Metrics

Deliverables

Resources

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New Budget Data Structure

Functional View
0-New gTLD Program
1-Stakeholder Projects
2-IDN
3-IANA
4-Security
5-Compliance
6-Meetings
7-Community Support
8-Policy Development
9-Global Engagement
10 - O E I
11-Ombudsman
12-Board Support
13-Nom Com
14-DNS Operations
15-Org Improvement

Management Delivery
Public/Stakeholder Engagement
Technical Services
gTLD Registry & Registrar
Contractual compliance Services
Communication Services
Organization Support
Stakeholder Support
Governance Support

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New Budget Data Structure

Management Delivery
Public/Stakeholder Engagement
Technical Services
gTLD Registry & Registrar
Contractual compliance Services
Communication Services
Organization Support
Stakeholder Support
Governance Support

Execution Objectives	Execution Goals
INTERNATIONALIZATION	INT1: ENGAGE STAKEHOLDERS GLOBALLY
	INT2: COMMNICATE EFFECTIVELY WITH STAKEHOLDERS GLOBALLY
	INT3: INTEGRATE GLOBAL AND REGIONAL RESPONSIBILITIES
	INT4: EVOLVE GOVERNMENT RELATIONSHIPS
MISSION CLARITY	MC1: ENGAGE IN THE INTERNET GOVERNANCE ECOSYSTEM
	MC2: DEEPEN I* PARTNERSHIPS
	MC3: ACT AS STEWARD OF THE PUBLIC INTEREST
	MC4: COORDINATE/EXECUTE CORE INTERNET FUNCTIONS
MULTISTAKEHOLDER MODEL MATURITY	MS1: EVOLVE THE POLICY DEVELOPMENT PROCESS
	MS2: DEPLOY COLLABORATION PLATFORM
	MS3: EVOLVE SO/AC STRUCTURES
	MS4: PROMOTE ETHICS AND TRANSPARENCY
OPERATION SCALABILITY	OS1: DELIVER ORGANIZATIONAL SUPPORT FUNCTIONS
	OS2: REGISTRY - REGISTRAR RELATIONSHIPS
	OS3: INSTITUTIONALIZE MANAGEMENT DISCIPLINES
	OS4: PLAN FOR SCALE, RISK AND CONTINUITY

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New Budget Data Structure

Management Delivery	Execution Objectives																Total
	INTERNATIONALIZATION				MISSION CLARITY				MULTISTAKEHOLDER MODEL MATURITY				OPERATION SCALABILITY				
	Execution Goals																
	INT1	INT2	INT3	INT4	MC1	MC2	MC3	MC4	MS1	MS2	MS3	MS4	OS1	OS2	OS3	OS4	
Public/Stakeholder Engagement	$	$	$		$	$		$		$		$	$	$	$		$$
Technical Services		$		$	$	$		$	$		$		$		$		$$
gTLD Registry & Registrar	$	$	$		$					$	$		$	$	$	$	$$
Contractual compliance Services	$		$					$	$		$			$	$	$	$$
Communication Services	$	$	$		$		$		$	$	$	$	$	$	$	$	$$
Organization Support		$	$	$		$		$	$		$			$	$		$$
Stakeholder Support	$	$		$	$		$			$	$	$	$			$	$$
Governance Support	$		$	$		$		$	$		$	$	$	$		$	$$
Total ICANN	$$	$$	$$	$$	$$	$$	$$	$$	$$	$$	$$	$$	$$	$$	$$	$$	$$$

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Next Steps

- Principle of interaction with community
  - Who: Participants list
  - How: Mechanism (method/timing/frequency)
- Finalize FY14 budget process
- Create first 3 interactive sessions